

2018 Current Fiscal Year Report: National Center for Advancing Translational Sciences Advisory Council

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1. Department or Agency

Department of Health and Human Services

2. Fiscal Year

2018

3. Committee or Subcommittee

National Center for Advancing Translational Sciences
Advisory Council

3b. GSA Committee No.

79630

4. Is this New During Fiscal Year?

No

5. Current Charter

02/07/2018

6. Expected Renewal Date

02/07/2020

7. Expected Term Date

8a. Was Terminated During Fiscal Year?

No

8b. Specific Termination Authority

8c. Actual Term Date

9. Agency Recommendation for Next Fiscal Year

Continue

10a. Legislation Req to Terminate?

Not Applicable

10b. Legislation Pending?

Not Applicable

11. Establishment Authority

Authorized by Law

12. Specific Establishment Authority

42 USC 217a

13. Effective Date

11/17/1962

14. Committee Type

Continuing

14c. Presidential?

No

15. Description of Committee

Other Committee

16a. Total Number of Reports

No Reports for this
Fiscal Year

17a. Open Meetings and Dates 0 17b. Closed Meetings and Dates 0 17c. Partially Closed Meetings and Dates 3 17d. Total Meetings and Dates 3

Purpose

Program Advisory / Second Level Peer Review

Program Advisory / Second Level Peer Review

Program Advisory / Second Level Peer Review

Start

01/11/2018

05/10/2018

09/27/2018

End

- 01/11/2018

- 05/10/2018

- 09/27/2018

Number of Committee Meetings Listed: 3

18a(1). Personnel Pmts to Non-Federal Members

Current FY \$6,000.00 Next FY \$6,000.00

18a(2). Personnel Pmts to Federal Members

\$0.00 \$0.00

18a(3). Personnel Pmts to Federal Staff

\$251,600.00 \$256,380.00

18a(4). Personnel Pmts to Non-Member Consultants

\$600.00 \$600.00

18b(1). Travel and Per Diem to Non-Federal Members

\$19,108.00 \$19,230.00

18b(2). Travel and Per Diem to Federal Members

\$0.00 \$0.00

18b(3). Travel and Per Diem to Federal Staff

\$0.00 \$0.00

18b(4). Travel and Per Diem to Non-member Consultants	\$2,905.00	\$2,938.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$13,117.00	\$13,248.00
18d. Total	\$293,330.00	\$298,396.00
19. Federal Staff Support Years (FTE)	2.00	2.00

20a. How does the Committee accomplish its purpose?

Section 492 of the PHS Act states that The Secretary...shall by regulation require appropriate technical and scientific peer review of -- (A) applications...; and (B)...biomedical and behavioral research and development contracts.... This council is composed of both scientific and public members who provide the second level of peer review; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. Of the applications that were reviewed by initial review groups for the National Center for Advancing Translational Sciences, the Council reviewed 913 applications in the amount of \$1,463,602,490.

20b. How does the Committee balance its membership?

The National Center for Advancing Translational Sciences Advisory Council is composed of 18 members with research interests and responsibilities paralleling those of the National Center for Advancing Translational Sciences. Twelve members are scientists who are outstanding medical or scientific authorities knowledgeable in the fields relevant to the activities of the National Center for Advancing Translational Sciences, particularly representatives of the health and scientific disciplines in the areas of drug development and translational sciences. Six members are representatives of the general public with interests in public policy, law, health policy, economics and management.

20c. How frequent and relevant are the Committee Meetings?

The council met three times during this reporting period. Meetings are scheduled to be held not less than three times each fiscal year. The National Center for Advancing Translational Sciences Advisory Council provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The National Center for Advancing Translational Sciences Advisory Council is composed of both scientific and public members who provide the second level of review for research grant applications; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Center. The quality,

scope, and balance of advice from this council cannot be obtained from NIH staff or from other established sources because the membership of the council is constituted to meet the specific requirements of the mandated mission of the council and of the Center.

20e. Why is it necessary to close and/or partially closed committee meetings?

The meeting of the National Center for Advancing Translational Sciences Advisory Council was closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permits the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

21. Remarks

Committee Function: Other - National Scientific and Advisory. Reports: This committee did not produce any public reports during the fiscal year. The DFO and Decision Maker are the same person, the Director, Office of Grants Management and Scientific Review, based on the assignment of duties within the Center. The appointments of members Selker and Shekhar were extended to 2/28/2019. Merchant was inadvertently left off the FY17 ACR Report.

Designated Federal Officer

Anna L. Ramsey-Ewing Director, Office of Grants Management and Scientific Review

Committee Members	Start	End	Occupation	Member Designation
ATKINS, DAVID	10/22/2013	08/31/2020	DIRECTOR OF HSR&D	Ex Officio Member
AUSTIN, CHRISTOPHER	09/01/2016	08/31/2018	DIRECTOR	Ex Officio Member
AZAR, ALEX	01/29/2018	01/20/2021	SECRETARY	Ex Officio Member
BARTEK, RONALD	04/17/2018	08/31/2020	CO-FOUNDER AND FOUNDING PRESIDENT	Special Government Employee (SGE) Member
COLLINS, FRANCIS	09/01/2012	01/20/2021	DIRECTOR	Ex Officio Member
HARGAN, ERIC	10/10/2017	01/29/2018	ACTING SECRETARY	Ex Officio Member
HARTMAN, DANIEL	10/11/2016	08/31/2019	DIRECTOR	Special Government Employee (SGE) Member
KU, KATHARINE	10/03/2017	08/31/2020	DIRECTOR	Special Government Employee (SGE) Member
KUNTZ, RICHARD	06/29/2018	08/31/2019	CHIEF SCIENTIFIC OFFICER AND SENIOR VICE PRESIDENT	Special Government Employee (SGE) Member
LING, GEOFFREY	04/17/2018	08/31/2019	PROFESSOR	Special Government Employee (SGE) Member
MARGUS, BRAD	11/02/2017	08/31/2020	CO-FOUNDER AND CHIEF EXECUTIVE OFFICER	Special Government Employee (SGE) Member
MARKS, G.	10/19/2017	08/31/2020	SENIOR VICE PRESIDENT	Special Government Employee (SGE) Member
MERCHANT, KALPANA	09/25/2017	08/31/2020	PRESIDENT AND CHIEF SCIENTIFIC OFFICER	Special Government Employee (SGE) Member
MONTGOMERY-RICE, VALERIE	10/23/2017	08/31/2020	PRESIDENT AND DEAN	Special Government Employee (SGE) Member
OBOYLE, MEGAN	09/07/2016	08/31/2019	PRINCIPAL INVESTIGATOR	Special Government Employee (SGE) Member

PALKOWITZ, ALAN	10/11/2016 08/31/2019 VICE PRESIDENT	Special Government Employee (SGE) Member
SELKER, HARRY	09/01/2014 02/28/2019 EXECUTIVE DIRECTOR	Special Government Employee (SGE) Member
SHEKHAR, ANANTHA	09/01/2014 02/28/2019 ASSOCIATE DEAN FOR TRANSLATIONAL RESEARCH	Special Government Employee (SGE) Member
SHERER, TODD	12/04/2017 08/31/2020 CHIEF EXECUTIVE OFFICER	Special Government Employee (SGE) Member
SPIELBERG, STEPHEN	10/03/2017 08/31/2020 EDITOR-IN-CHIEF	Special Government Employee (SGE) Member
WRIGHT, DON	09/29/2017 10/10/2017 Acting Secretary, HHS	Ex Officio Member

Number of Committee Members Listed: 21

Narrative Description

The goal of NIH research is to acquire new knowledge to help prevent, detect, diagnose, and treat disease and disability, from the rarest genetic disorder to the common cold. The NIH mission is to uncover new knowledge that will lead to better health for everyone. NIH works toward that mission by: conducting research in its own laboratories; supporting the research of non-Federal scientists in universities, medical schools, hospitals, and research institutions throughout the country and abroad; helping in the training of research investigators; and fostering communication of medical and health sciences information. Section 492 of the Public Health Service Act states that “The Secretary....shall by regulation require appropriate technical and scientific peer review of –(A) applications ...; and (B) ...biomedical and behavioral research and development contracts...”The National Center for Advancing Translational Sciences Advisory Council provides second level peer review, makes recommendations for support of grants, contracts proposals, and cooperative agreements; advises on policy development, program implementation and evaluation; and identifies research needs of the National Center for Advancing Translational Sciences.

What are the most significant program outcomes associated with this committee?

Checked if Applies

Improvements to health or safety	<input checked="" type="checkbox"/>
Trust in government	<input checked="" type="checkbox"/>
Major policy changes	<input type="checkbox"/>
Advance in scientific research	<input checked="" type="checkbox"/>
Effective grant making	<input checked="" type="checkbox"/>
Improved service delivery	<input type="checkbox"/>
Increased customer satisfaction	<input type="checkbox"/>
Implementation of laws or regulatory requirements	<input type="checkbox"/>
Other	<input type="checkbox"/>

Outcome Comments

N/A

What are the cost savings associated with this committee?

Checked if Applies

None	<input type="checkbox"/>
Unable to Determine	<input checked="" type="checkbox"/>
Under \$100,000	<input type="checkbox"/>
\$100,000 - \$500,000	<input type="checkbox"/>
\$500,001 - \$1,000,000	<input type="checkbox"/>
\$1,000,001 - \$5,000,000	<input type="checkbox"/>
\$5,000,001 - \$10,000,000	<input type="checkbox"/>
Over \$10,000,000	<input type="checkbox"/>
Cost Savings Other	<input type="checkbox"/>

Cost Savings Comments

NIH supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new way to treat and prevent diseases.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

5,712

Number of Recommendations Comments

The number of recommendations includes the second level review of grant applications.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

0%

% of Recommendations Fully Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

0%

% of Recommendations Partially Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☒ No ☐ Not Applicable ☐

Agency Feedback Comments

Written and oral reports.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

Action Comments

An action of “approved” or “recommended” for grants receiving review by this Council [or Board] does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH’s funding principles, review of the project budget, assessment of the applicant’s management systems, determination of applicant eligibility, and compliance with public policy

requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated Number of grants reviewed for approval 913

What is the estimated Number of grants recommended for approval 913

What is the estimated Dollar Value of grants recommended for approval \$1,463,602,490

Grant Review Comments

NA

How is access provided to the information for the Committee's documentation?

Checked if Applies

Contact DFO ☒

Online Agency Web Site ☒

Online Committee Web Site ☒

Online GSA FACA Web Site ☒

Publications ☐

Other ☐

Access Comments

N/A